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The (Social) Construction of Information Security

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While the philosophical foundations of information security have been unexamined, there is an implicit philosophy of what protection of information is. This philosophy is based on the notion of containment, taken from analogies with things that offer physical security (e.g., buildings, safes, fences). I argue that this implicit philosophy is unsatisfactory in the current age of increased connectivity, and provide an alternative foundation. I do so from a constructionist point of view, where the coevolution of social and technical mechanisms is seen as the source of the security of an information system, rather than rational design choices only. I employ the concept of causal insulation from system theory in order to give an account of the fundamental characteristics of information security research. This generates definitions that can be used for philosophically informed discussions on the protection of information in new systems.

Keywords causal insulation, constructionism, information security, security perimeters, system theory

A growing proportion of computer science research is now devoted to what is called information security. In this subdiscipline the focus is on how to protect information

systems from malicious users. This is quite different from research in other areas of computer science such as programming paradigms or software engineering methods, primarily because security is concerned with what systems should *not* do rather than what they should do. The philosophical basis of this research, however, has not received much attention until now. Although security-related societal implications of information systems, especially in the area of privacy (see, e.g., Nissenbaum 1998; Floridi 2005; Gutwirth and De Hert 2008), have been discussed extensively, the philosophical foundations of the scientific endeavour have been left largely unexamined. This oversight is not only problematic philosophically; it also makes it impossible to connect high-level privacy discussions with the possibilities the technology now offers.

When computer scientists speak of privacy, they mean a special kind of information security, namely, *confidentiality* of *personal* information. But the repertoire of information security is much broader, as it covers integrity and availability of information next to confidentiality, and business, military, and government information next to personal information. For the computer scientist it does not matter what kind of information needs to be secured. However, for the policymaker, it does. Therefore, the technical solutions never speak of privacy as it is used at policy level, and policymakers never speak of information security as it is used in the technical domain.

While the philosophical foundations of information security have been unexamined, there is an implicit philosophy[1] of protection of information based on the notion of containment, taken from analogies with things that offer physical security (e.g., buildings, safes, fences). Correspondingly, in such a philosophy, the asset to be protected needs to be separated from the environment by security boundaries such as a firewall. This fortress-based analogy introduces a blind spot in our thinking, as a fortress is robust against external threats but weak against those emanating from within the security perimeter. The latter are very potent threats in today's world of information security.

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In the present text I argue that this implicit philosophy is unsatisfactory in the current age of increased connectivity, and provide an alternative foundation. I do so from a constructionist point of view, where the coevolution of social and technical mechanisms is seen as the source of the security of an information system, rather than rational design choices only. I employ the concept of causal insulation from system theory in order to give an account of the fundamental characteristics of information security research. This generates definitions that can be used for philosophically informed discussions on information security. Two themes are central in the analysis. One, information security is not merely a design problem, as external forces shape the threats to and protection of information systems. Two, people play a central role in the security of information systems, both in the role of attackers and in the role of defenders. Hence the title of this article, and hence the claim that the vocabulary presented here enables philosophically informed discussions on the (social) construction of information security.

In the rest of the article, I describe in more detail what information security research involves, and why its implicit philosophy is inadequate. I then interpret this research in terms of the system theory of Niklas Luhmann, especially the concept of causal insulation, and validate this analysis by showing how this interpretation matches existing (technical) approaches for modeling information security. Thereafter I analyze the role of policies in the causal insulation approach; discuss from the system-theoretic perspective similarities and differences between information protection in the physical, digital, and social domains; and provide new definitions that can guide future research on this topic. Based on these definitions, I examine the mechanisms of (social) construction and demonstrate the utility of this analysis by applying it to the example of electronic voting. In the concluding section I discuss how this new perspective may inspire practices in information security modeling.

INFORMATION SECURITY

Information security aims at providing tools and mechanisms for protecting the confidentiality, integrity, and availability of information in the face of attacks. Confidentiality protects against unauthorized reading, integrity against unauthorized writing, and availability against unauthorized deletion of information. The term *security* denotes that there are enemies. Safety or correctness, by contrast, deals with such risks under "normal" circumstances, that is, without an active adversary. For example, a safety property of a computer system is that it does not crash on its own; a security property may be that it is resistant to so-called denial-of-service attacks.

At first sight, information security seems to rely on a distinction between what needs to be protected and its environment. Confidential information should not get "out," and unauthorized information should not get "in." Following this intuition, the implementation of information security policies has often been based on a so-called security perimeter. An example is a firewall, a single device that filters all incoming and outgoing network traffic of an organization and thereby blocks potentially dangerous transmissions. The notion of perimeter makes an explicit distinction between inside and outside. What is inside is trusted; what is outside is not. Outside threats should not be allowed to reach the inside, whether it concerns confidentiality or integrity of information.

This implicit philosophy seems to originate in an analogy with safes, access control in buildings, and other means of physical control. Here physical boundaries are created in which the assets are *contained*. The containing perimeter has a limited number of gates (such as doors), which also limit the traffic that can go through (using, e.g., keys). When trying to protect information, it seems natural to interpret information security in similar terms. Consequently, the design of information systems has followed a similar pattern, and the associated concept of containment is often used in modeling information security (Scott 2004; Nunes Leal Franqueira et al. 2009). Also, the term *exposure* is used to describe what part of the "inside" is accessible from the "outside" (Dragovic and Crowcroft 2004).

This focus on containment, as expressed in the idea of perimeter-based security, has now become problematic. First of all, the problem of insider threat, where persons inside the perimeter misuse their capabilities to disrupt the system, poses a challenge (Probst et al. 2007). Insiders are trusted by definition, and mechanisms to protect against insider threats may therefore be absent. Moreover, increasing demand for access to the organization's assets from outside the organization's physical boundaries via virtual private networks (VPNs) has challenged the notion of a perimeter, as organizational networks now have to be accessible from outside the organization's premises. The outsourcing of services to other organizations is also a major driver for external access.

In information security modeling we see this problem when multiple connections between entities need to be modeled. In the containment philosophy, the connections are modeled as tree structures, where there is only one path from one entity to another. When, in the "real" world, multiple paths exist, counterintuitive constructions need to be added to account for these features. For example, when connectivity within a building is modeled as a tree, in which computers are contained in rooms, additional connections between nodes of the tree are added to model wireless networks (see, e.g., Nunes Leal Franqueira et al.

2009). Why, then, is the basic model still conceived as a tree, and why is the philosophy one of containment? We might be better off with a different starting point.

According to the Jericho Forum (2005), protection of information should no longer be based on a single perimeter separating the organization from its environment. In what is called de-perimeterization, the boundaries of the information infrastructures of organizations dissolve. Where previously a firewall was used to separate the untrusted outside from the trusted inside, outsourcing of information management and mobility of employees make it impossible to rely on such a clearly located security perimeter. Nowadays, we hear increasingly about "cloud computing," where it becomes completely invisible to the user where the information is stored and processed, for example, in Google Docs. It is argued that in such an environment, protection should therefore lie as close to the data as possible, that is, "data-level security."

The question has been raised of whether this is really a paradigm shift, or just a relocation of the perimeter: whether it is de-perimeterization or re-perimeterization. After all, it is still necessary to protect the data; only the size of the trusted inside could be said to be reduced. Protection may no longer be based on the physical separation of networks through a firewall, but rather on digital separation of the data by means of encryption (e.g., sticky policies; Karjoth et al. 2003). The relocation argument has a limited scope, though. Although the containment philosophy may still work for the encryption itself, the complex connections that allow access to the encrypted data cannot be modeled from such a perspective. Several people, possibly working in different organizations, will have access to the information, possibly based on different credentials and through different routes. In such a situation, the question is, which concepts can help model information security appropriately?

CAUSAL INSULATION

In defining information security in the age of increased connectivity, we need to develop a theory that allows for dynamic and heterogeneous rather than fixed and homogeneous boundaries between what we wish to protect and the threats that endanger these assets. The inside then consists of things that work together, and the outside consists of things that work against the inside.

An analogy can be drawn here with the body. There are protective mechanisms that operate within the perimeter formed by the skin (e.g., the immune system) and also outside of that perimeter (e.g., construction of shelters and more generally changing of environment for better protection). This is what Richard Dawkins calls "the extended phenotype" (Dawkins 1989), and we may speak similarly of "the extended security perimeter." Unlike the perimeter from the common sense philosophy, an extended perimeter is neither static nor homogeneous.

We should thus replace the common sense notion of boundary with something theoretically more sophisticated. In this article I use the distinction between a system and its environment, which is the basis of system theory. We may also define the inside as an actor-network with a particular program of action, and the outside as an anti-program. This actor-network theory-based perspective is dealt with elsewhere (Pieters 2011b); in this article I focus on the system-theoretic point of view.

Niklas Luhmann was one of the most important 20th century system theory researchers. In particular, his book *Risk* (Luhmann 1993) deals with matters of protection and security. Since we are interested in securing information technology, the chapter on technology is of particular interest. According to Luhmann, "What is called technology, is a *functioning simplification in the medium of causality*" (p. 87, italics in original). Although quite abstract at first sight, Luhmann's subsequent explanation provides the insight that "the result of technicalization is thus the more or less successful insulation of causal relations" (pp. 87–88). In effect, creation of technology means getting intended causes in and keeping unwanted causes out. "The form of technology . . . marks the boundary between enclosed and excluded (but just as real) causalities" (p. 90).

From this perspective, design of technology involves decisions that specify on the one hand which causes are allowed to pass in and out, and on the other hand which causes are not allowed to pass. The latter are the safety and security properties of the technology. What does this mean for information security? I take what is often called a sociotechnical view here, in which physical, digital, and social elements can be part of the technology (system) under investigation. From this point of view, we can address information security of computer systems as well as information security in organizations.

In traditional security in organizations, we had a physical perimeter separating the inside of the organization from the outside. Digital data had to pass this physical perimeter in order to move into or out of the organization. The Jericho approach is interpreted to stand for data-level security, where the physical perimeter is replaced by security of the data itself, by means of cryptographic techniques. From the causal insulation point of view, both are different mechanisms to achieve a causal insulation of the data from the environment.

In both cases the confidential data inside the organization are not supposed to cause changes in the environment of the organization; if it did, then the environment could be using the confidential data for some purpose. Conversely, the organization wishes to protect its sensitive information from outside influence; because the data is important, outsiders should not have control over what the

information tells the organization. Thus, there are different ways in which we can implement the causal insulation for the sociotechnical system under investigation. Contrary to the perimeter perspective, these mechanisms need not be static or homogeneous.

We should keep in mind that Luhmann is primarily speaking of the *safety* of technology, that is, the keeping out of *unintended* external causes. When we move to security of technology, and thus face adversaries, we wish to keep out *intended* causes, that is, malicious acts of an attacker. This by itself leads to philosophical considerations, but these have been discussed elsewhere (Pieters 2010). Here it suffices to say that the enemies are determined to *make happen* those causes that match their intentions. If we focus on information, we need to include *only* this type of causes. The leakage of information is only dangerous if enemies will make use of it. That is, the leakage of information *by itself* will not be harmful, but only when it is used by an agent.[2] This means that in information security, the causal insulation of information always assumes adversaries. By contrast, causal insulation of other technologies may create harmful effects to health and the environment without human mediation. Information, by itself, does not have such effects.

This analysis can be compared to Luciano Floridi's work on "ontological friction" (Floridi 2005), which also deals with a type of "resistance" that exists in what he calls the "infosphere": "the environment constituted by the totality of information entities—including all agents—processes, their proprieties and mutual relations" (Floridi 1999, p. 7). This perspective provides a useful abstraction for understanding how information technology changes the flow of information, in particular in relation to ethical questions about privacy. However, the system-theoretic perspective of causal insulation and perimeters has a number of advantages. First, it does not rely on the acceptability of claims on ontological changes that information technology induces in the infosphere, and rather provides a pragmatic perspective for modeling in terms of systems. Second, it thereby highlights the possibilities for achieving insulation in design, including a multilevel view on extended security perimeters, where causal insulation can even run through agents, as we show later.

The basic understanding of technology by means of causal insulation thus provides us with a new way of considering perimeters: They are not necessarily about physical boundaries, but about limiting the possibilities of information influencing other information. Physical boundaries are a *specific type* of causal insulations. In the past, physical boundaries were a good way of creating causal insulation, but now the process of de-perimeterization challenges this success. Later we show what kinds of boundaries are characteristic of the new situation.

NONINTERFERENCE

To validate the definition of information security in terms of causal insulation, a comparison can be made to existing computer science research, which sees information security in terms of information flow. The question then becomes, which information can influence other information?

Based on this research, it can be argued that a notion of causal insulation has already been developed that is specific to *information*. This notion has been termed *noninterference* (Sabelfeld and Myers 2003). Within this perspective, noninterference means that high-security information cannot flow to low-security environments (confidentiality), or that low-security information cannot flow to high-security environments (integrity). For example, privacy-sensitive information cannot end up on a publicly accessible Web page. Or, conversely, information that was entered on a Web site by an unknown user cannot end up in a critical file.

One definition of confidentiality from the perspective of noninterference is found in Jacobs et al. (2005). In this definition the basic assumption is that if a partition of the world is not influenced by information from outside this partition within a given period, then the final state of the partition should be independent from outside causes. That is, if in two different states of the world the projection of the state on the partition is the same, the projection of the resulting states of the world on the partition should still be the same after the considered period. This holds for integrity of the partition. For confidentiality, the situation is the other way around. That is, the resulting state of the world *outside* the partition should be independent from what happens inside the partition, if there is no leakage of information.

Here the focus is on computer programs, and the world under consideration consists of a computer memory. This memory is partitioned according to security levels. The notion of noninterference thus provides an informational point of view on causal insulation. If a partition of the memory is properly protected, it means that information cannot pass its "boundary" without conforming to its policy. Such policies may in practice be enforced by encryption: Only with the right credentials one can access the information.

Thus, the perspective of causal insulation corresponds to information flow analyses in information security research. In particular, such methods analyze the situation where there is no *physical* boundary between pieces of information, and we still wish to keep them separate in terms of influence. In the preceding example, the analysis focused on information flow *within* a computer program. However, apart from the complications of moving from

a formal to a natural domain, there is no reason why the idea could not be applied to a broader array of information security situations, where flows between physical systems and people are included. This, however, is not the aim of the present analysis.

With respect to our present goal, providing a philosophical foundation for information security, the comparison to information flow shows that the analysis of information security in terms of causal insulation is a valid one in principle. It also shows that causal insulation for information security means a *specific* kind of causal insulation, namely, one between information items. As such, the causal insulation aimed for is causal insulation in the area of information and meaning (infosphere in Floridi's terms), rather than in the spatial–physical world.

POLICIES

Requirements for causal insulation of information can be described in terms of *policies*. A policy denotes under which conditions causes can pass the causal insulation. Policies are ascribed to the world by agents, and the only function agents have is ascribing policies. I thus do *not* see the access relation of one object to another as an inherent agent-to-object relation. Rather, these are relations between information objects (where an information object can be a human), and agents ascribe policies to these access relations (where an agent can again be a human). Policies for granting access can be represented in terms of the access that an entity already has to other (information) objects. If the actor then wants to be granted access, the actor needs to either conform to the policy or have the policy changed. For example, a door may be entered by using a key (conforming to the policy) or by breaking the lock on the door (changing the policy). In a digital setting, one may guess a password (conforming to the policy), or change the access rights of the file one wants (changing the policy).

From this perspective, it does not matter how causal insulation of information is implemented, since it only concerns the (dis)connection between different pieces of information. The physical layout of a building is only an implementation of a particular information access policy, and is only relevant *as* implementation of this policy. Therefore it is not relevant whether in the physical world Room 2 is adjacent to Room 1 and only reachable through Room 1; it is only relevant that there is a policy stating that access to Room 2 is limited to entities already having access to Room 1, which is implemented with a certain strength, and can be modified by entities capable of interacting with the policy (the room might be tempted to change its policy in interaction with dynamite).

This analysis of the role of policies can move our attention from the physical analogy of containment to a more general foundation of information security. Still, the intricacies of the different possible forms of *implementation* of such policies deserve a more detailed analysis. This is our focus for the next section.

PHYSICAL, SOCIAL, AND DIGITAL PROTECTION

We have seen that in order for technologies to function, they need to "decide" which causes they let in or out. This is what Luhmann calls causal insulation. Causal insulation properties for information can be specified in terms of policies, in which it is specified which access is needed to gain more access. Causal insulation in the infosphere may be realized by physical, digital, or social mechanisms, depending on the type of agents involved. We may build a wall, thereby separating information flows, or tell people not to give away their passwords. How do these different types of mechanisms fit into the causal insulation perspective?

First of all, we can distinguish between passive and active causal insulation. In passive insulation, the insulation is implicitly realized by "common" physical properties. In active insulation, a special mechanism is included in the design that is supposed to take care of the protection. A piece of paper is in principle not accessible, unless you have the paper in your hands (the so-called "air gap"). A file on the Internet is in principle accessible, unless it is actively protected (e.g., by encryption). For example, consider the difference between barcodes and radiofrequency identification (RFID) chips on consumer products. The information in the former cannot easily be captured from a distance, since the products mostly reside inside shopping carts and bags. By contrast, the information in RFID chips can be read, unless there are protective measures in place. This makes the security of the RFID information dependent on the adequacy of the security protection mechanism. Such differences also apply when boundaries fade with de-perimeterization and converging technologies: There is a shift from passive causal insulation to active causal insulation due to increased connectivity.

Active protection, in contrast to passive protection, is by definition based on design decisions. This means that, in Luhmann's terminology, the possibility of failure is always one of risk instead of danger: One could have made a different design decision, which is not the case with passive protection by physical separation of technologies. Moreover, how the protection works can no longer be understood without specialist knowledge. It is easier to convince the public that barcodes cannot be read from a distance than to achieve the same result for RFID, even when experts find the protection adequate. This means that trust becomes increasingly important. Instead of unconsciously relying on the physical separation of systems,

we have to decide consciously whether we trust a security measure to protect our assets.

Simultaneously, increased connectivity often amounts to a shift from causal insulation based on physical separation to causal insulation based on informational separation (noninterference). Although a traditional pill relies on chemical properties to release its contents, subject to local causes only, a digital pill may be steered from outside the body. This again requires active protection, which is typically based on informational properties rather than physical properties (e.g., authentication and encryption).

When insulation is insufficient, as is often the case when connectivity increases, an alternative or complementary approach is to detect when a technology is being misused. In technical vocabulary this is called intrusion detection (Bolzoni and Etalle 2008). When everything is connected in the information domain ("Internet of things"), lack of protection may lead to, for example, digital pills being "hacked," even when we *think* that adequate protection is in place. In such a case, pills need to be "suspicious" about the instructions given to them: If they get a strange sequence of instructions, they may decide not to execute them and generate a warning instead. Moreover, this security mechanism will itself rely on information about the use of the device, which also needs to be protected. We could decide to call this *causal exile*, which is complementary to causal insulation.

In the case of the security perimeter in an organizational context, the causal insulation is achieved by separating the causal mechanisms inside and outside the organization. This separation is physically represented by, for example, a firewall, which is the only connection between the network of the organization and the outside and untrusted Internet. Other sources of data flowing into or out of the organization should be controlled in a similar way, for example, by disabling USB ports and other ways for employees to take away or insert data. However, what the employees *know* is still moving outside the organization. Employees have to work with the data, making it necessary to give them the information in such a way that they can do so, that is, unencrypted. Since people cannot be asked to give up their private life, they inevitably operate in both trusted and untrusted environments, and are therefore "part of the security perimeter." Next to physical and digital protection, the social factor is thus crucial in protecting the information of the organization.

Many researchers have investigated this social side of information security. Where both the physical and digital parts of the perimeter can be controlled by technology, causal insulation of data that is present in people in the form of knowledge cannot be protected in such a way. Here the causal insulation is achieved by training and law. An important question is whether we can represent social separation in a similar way.

In digital and physical protection, the protection mechanism has to decide whether or not it will let certain causes in or out. This is usually based on something else that the "cause" has access to, such as a key or a password. As said before, one can then gain access either by conforming to the policy, or by changing the policy. Does this also work in social settings?

The answer seems to be yes. Again, there are basically two ways for an actor to convince someone else to give her something she should not be given, for example, a password. The first is to present some credential that according to the other's policy gives her the right to have the password. The second is to make her opponent change his policy, such that the request and the policy are compatible. This is not so different from the methods to gain access to a building or an IT system. In terms of causal insulation, the first method is to change the environment to conform to the causal insulation while still reaching the goal, and the second is to change the system's causal insulation.

It may be argued that the notion of roles makes the social domain fundamentally different from the physical and digital domain. However, roles can be modeled in terms of policies and credentials. If I wish to impersonate an employee of an organization, I can either obtain a credential such as an employee card, or make someone change his policy in order to grant me access without such a card. In both cases, I may be said to have successfully impersonated an employee.

Still, it may be objected that in the second case, the impersonation is based on trust rather than credentials, which would then be something specific to the social domain. Again, I would reply that trust is a matter of what one would or would not do in an interaction with a person. If I trust you, I am more likely to delegate an important task (and the necessary credentials) to you. But we can also reverse the definition: If I am more likely to delegate goals or authorizations to you, then I can be said to trust you more. Trust is then defined as intention to delegate.[3]

The most important difference between the social domain and the physical and digital domains seems to be that the implementation of policies is not deterministic. A door will always, or with very high probability, let someone in who has the key, and keep someone out who does not have the key. By contrast, a person may act differently in different circumstances, and she may only conform to the policy, say, 60 percent of the times. Whether this is a matter of free will or of circumstances is not something to be addressed here. Even if people's behavior may be expressed by deterministic-but-very-complicated policies, depending on many circumstances, for all practical purposes the behavior will need to be understood probabilistically.

In all cases—whether it concerns physical, digital, or social implementations—changing the policies should be difficult, as it can be a very powerful way to get any type

of access to a system. Thus, this subsystem should have its own causal insulation, which is usually stricter than the overall one. Still, system administrators often have a lot of power, making the insulation dependent on their goodwill alone.

I conclude that although some aspects are different, physical, digital, as well as social aspects of information security can be modeled in terms of causal insulation. In all cases, the causal insulation is realized by means of access policies. Causal insulation can—and should—be complemented by what I called "causal exile", that is, intrusion detection. To bypass causal insulation, one either needs to conform to the policy or have the policy changed. Changing policies may again require special causal insulation to prevent giving too much power to administrators.

CONTAINMENT REVISITED

Based on the analysis in the previous sections, I argue that information security is best modeled by the possible interactions between information entities, based on the causal insulation between them. In such a model the primary question is what can access what, and how this may change over time.

When we wish to investigate security, we can abstract from the mechanism that implements causal insulation and focus instead on the level of resistance that a certain mechanism gives to unwanted causes trying to break the insulation. In such a model each entity has a policy of keeping in, keeping out, letting in, and letting out. This policy is enforced with a certain strength. Whether the policy is actually enforced depends both on the value of the asset to be protected and the force that the environment can apply to break in.

Existing approaches often focus on containment as the fundamental security relation. However, this seems to lead to arbitrary choices for the direction of the relation. For example, does a firewall "contain" a network? The choice to represent one network as "inside" and the other as "outside," as in Nunes Leal Franqueira et al. (2009), will depend on the location of the assets, but cannot be meaningfully deduced from the structure of the world only. If the asset were on the other side of the firewall, the containment would be reversed. The representation of the structure of the world is then dependent on the value assigned to the entities. It seems that, rather than being a fundamental property, containment is *derived* from what is being protected against what. Intuitively, we may use entities and connections between entities to model these relations. Entities can then access each other if they are connected.

Definition 1 *a is* informationally contained *in b to the extent that its connection with b can prevent events in the world from causing informational changes in a (integrity), and/or can prevent a from causing informational changes in the environment (confidentiality). a is* fully contained *in b if a can exchange information with the environment only through its connection with b.*

For example, a computer may be (partly) contained in a room. If it furthermore has a wireless network connection, it is also (partly) contained in the wireless network. If the computer is stand-alone, it is fully contained in the room.[4]

Definition 2 *An* informational perimeter *of a is a set of entities that together can prevent events in the world from causing informational changes in a (integrity), and/or can prevent a from causing informational changes in the environment (confidentiality).*

Note that if $\{b\}$ is a perimeter of a, then a is fully contained in b. In the previous example, a room plus a wireless network may form a perimeter of a computer. This composite system may have its own perimeter again, say, in the form of a building plus a firewall. The building may have a perimeter in terms of the people who can go in and out (taking information with them).

These definitions show us that the notions of containment and perimeter are still relevant, *but not a priori*. Instead, containment and perimeters are *derived* concepts, and they are derived from a model of the world in which all possible interactions between information items are incorporated. Since this model concerns the infosphere, spatial or physical arrangements are only relevant to the extent to which they represent causal insulation in the infosphere. Such a philosophy is more suitable in the current age of complex informational networks, since it does not limit the acceptable types of causal insulation on forehand.

In many cases, it is not sufficient that causal insulation is in place, in the sense that it inhibits information flow. Often, it must be assessable by parties involved that this insulation is indeed in place—that is, the information *about* the insulation must not be insulated. I call this *observable insulation*. (In Floridi's terms, we may speak of "visible friction.") Such visibility depends on the capabilities of the observer.

Typically, physical insulation is more visible than digital or social insulation, as human observers are better equipped for/trained in physical observation. Why a ballot box constitutes insulation is so trivial that explanation is often unnecessary.[5] Therefore, forcing the information flow through the physical world is often a way to improve observable insulation.[6]

DOUBLE CONTINGENCY

What is different for security is that *attackers are also part of the perimeter*. When attackers decide not to attack, they are effectively contributing to the security of

the system: They reduce the probability that the desired system properties will fail. Moreover, what defenders do and say influences the attackers' decisions, which again influences what defenders do. As both attackers and defenders are aware of the contingency of the other's actions, they therefore find themselves in a situation of *double contingency* (Luhmann 1995).

This situation has interesting self-reinforcing properties for the perception of security of both attackers and defenders. When attackers attack a system in a specific way, the focus of both the attacker and the defender community is drawn to the specific problem that is exploited, leading on the one hand to more attacks and on the other hand to better defences. Both of these can again reinforce the attention that is being paid, and thereby reduce or improve security, depending on whether the attackers' or the defenders' efforts are more successful. In any case, an arms race is constituted about the specific problem, and similar problems are likely to appear in the near future, as attackers will try variations of the same trick, before the defenders think of said variations.

This also means that in security—and this is a key claim in this article—the *probabilities of attack are dependent on security perception*. In the words of those who like to distinguish between actual and perceived security, *actual security is dependent on perceived security*. Therefore, what is often called actual security is necessarily socially constructed, or, rather, constructed in a sociotechnical constitution of artifacts and humans. We cannot speak of the security of an electronic voting machine by itself; the probabilities of attack depend on what is perceived about its security, and are therefore context dependent. A report about vulnerabilities in the machine not only changes security perception, it also changes the probability of attack, and therefore the actual security of the device.

This is not to say that technical models or measurements of a machine's security are meaningless. The point is that if security is understood in terms of probability of damage (or probability times damage), then these technical methods do not measure security. They measure security as if the security perimeter *is* the device, which is not true in any practical situation.[7]

EXAMPLE: ELECTRONIC VOTING

To illustrate how this new philosophy of information security would work in a practical situation, and to show how it can contribute to political discussions and policy on information security, I discuss the example of electronic voting.

Traditionally, security in the voting process in an election relied on two types of containment. One was the voting booth, in which a voter could cast her or his vote without pressures from the outside world (e.g., vote buying or coercion). The other was the ballot box, assuring that only legitimate ballots would end up in the count. This arrangement seems to support the idea of security as containment. However, the voting booth and the ballot box are by themselves not sufficient to safeguard the properties they seem to provide. For example, voters leave fingerprints on their ballots, in principle allowing others to assess which vote is theirs. Such "electoral traces" (Pieters 2009) may break the secrecy of the ballot. Also, ballot boxes may not be empty at the start of an election, allowing so-called "ballot stuffing."

Additional procedural measures are therefore part of the perimeter. These include the public nature of counting and the destruction of the ballots (making it impossible to take fingerprints from them), and the checking of the integrity of the ballot box before the start of the election. Here the security perimeter runs through the people who observe the procedural measures: They decide whether undesirable informational causes can pass through. The adequacy of such measures heavily depends on whether attackers are actually interested in, say, taking fingerprints from ballots. Therefore, they also form part of the perimeter.

In electronic voting, the situation is different. Most voting machines do, for example, have a feature to assure that the count is zero at the beginning of the election. However, it is impossible for the poll workers to verify that this procedure is adequately implemented in the software. Therefore, the perimeter will now include the people and the places involved in programming the machine. If the machine can be reprogrammed, the storage facilities are also places where unintended informational causes may intervene. Again, potential attackers within the organizations involved are part of the perimeter. If they see benefits in manipulating the software, they can cause damage. If they are not, they actually protect the system information-wise. Here even ethical codes or moral values can be containers of information.

In Internet voting the perimeter is extended even further. The integrity of the individual vote is then often dependent on the integrity of the computer the voter uses to cast her or his vote. As we know, many personal computers are infected by viruses and spyware.

It seems that with automation, virtualization, convergence, cloud computing, and other such trends, the security perimeter is getting ever more extended. This means that in the new procedures for safeguarding against unwanted interference, more people and places become involved in the setup of the procedure, and thereby more people and places also become part of the security perimeter. Moreover, such new versions often offer fewer possibilities for intrusion detection, because they lack the necessary transparency. For intrusion detection (causal exile), in the sense of being able to find out if parts of the perimeter fail, openness is needed, whereas closure is often seen as

needed for security (causal insulation), especially in connection with commercial interests of companies. Thus, when companies are part of the security perimeter, they may provide security, but this cannot be verified, and neither can it be observed (from the outside) when incidents happen and need to be responded to.

The debate on openness versus obscurity still runs within the information security community, and will continue to do so for the foreseeable future precisely because of these two conflicting requirements (cf. Federspiel and Brincker 2010). A general direction to look for solutions is data classification. By providing transparency for unclassified data (e.g., system design and encryption algorithms) and secrecy for classified data (e.g., encryption keys), a combination of openness and closure may be achieved. However, business interests often make it impossible to provide the required openness. The renewed definitions of containment and security perimeters at least make it possible to cast new light on this debate, and continue it in a more informed way.

CONCLUSIONS

In this article I analyzed the philosophical foundations of the scientific discipline of information security. I argued that information security could be interpreted and explained in terms of causal insulation, based on Luhmann's system theory. I showed that this interpretation is consistent with existing research paradigms in information security. Based on this analysis, I discussed the relation between physical, digital, and social aspects of information security, and provided definitions for fundamental concepts in the area. The definitions provided are more flexible than they would be in a philosophy of (physical) containment. In particular they allow for security perimeters partly running through the *social* world, in the cultures both of defenders and of attackers, which is essential for understanding the social origins of information security, and its transformation by new technologies and new ways of organizing businesses and society.

By connecting the technical and policy discourses on information security and privacy, this analysis can form the basis for a better understanding of their relations in current and future developments. This holds not only for electronic voting, as shown in the example, but also for public transport payment systems, road pricing, electronic patient records, and many more. In all of these cases, technical perimeters as such are overrun by the many connections needed, but perimeters in terms of causal insulation, running through computers, organizations, buildings, and people, can provide the necessary understanding of how security is constructed, and in the end enable better judgments on what is more secure than what.

This is not to say that the analysis is complete, or without challenges. In future work I aim at comparing the system-theoretic approach to a second analysis in terms of Latour's actor-network theory (Latour 2005; Pieters 2011b). I expect this analysis to strengthen the arguments for moving away from a containment-based philosophy of information security to a "flat" ontology consisting of different actors that connect or disconnect from each other. However, the comparison between the two may also reveal possible weaknesses in both of them, and contribute to further improving the conceptual framework. Then it could be operationalized for decision support in policy contexts. I would also like to address the question how information security contributes to realizing the moral laws in information ethics (Floridi 1999; Ess 2009), as well as how ethics itself can improve our security perimeters. For if people constitute (part of) the boundary in information security, improvement of our ways of dealing with the infosphere is fundamentally dependent on their own policies.

NOTES

1. In this article, I use the term "philosophy" to refer to an understanding of the foundations of a scientific discipline. This does not necessarily mean a systematic account, as such an understanding is often implicit and unarticulated.

2. In privacy research there is a similar distinction between privacy as opacity and privacy as transparency, where in the latter the *use* of private information is regulated (Gutwirth and De Hert, 2008).

3. For more about definitions of trust, see Nickel (forthcoming) and Pieters (2006).

4. Obviously, these examples depend on the chosen level of abstraction in the model of the world.

5. The role of the concept of explanation is dealt with elsewhere (Pieters 2011a).

6. The proposal of a Dutch committee on the future of the voting process was exactly this: People can vote electronically, but the ballot must be forced through the physical world (i.e., printed) (Election Process Advisory Commission, 2007). In the United States the notion of a Voter Verified Paper Audit Trail (VVPAT; Mercuri 2002) does not actually force the information flow through the physical world, but creates a physical backup for detection of problems. The physically separate devices used in online banking systems in the Netherlands are another example. Here the codes for access and signing have to be manually entered, so that digital threats such as viruses cannot seize power over them, *and* it can be observed that this is the case.

7. This would also mean that the notions of threat, vulnerability, and impact, used in security risk assessment, would have to be redefined in terms of causal insulation. I leave this for future work.

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